

HEATHFIELD COMMUNITY SCHOOL



EXAM ARCHIVING POLICY

The purpose of this policy is to:

1. Identify exams-related information/records held by the Exams Officer.
2. Identify the retention period.
3. Determine the action required at the end of the retention period and the method of disposal.
4. Inform or supplement the centre-wide records management policy.

Date reviewed: February 2021
Date of next review: February 2023

Reviewer: Lionel Crow

Record Type	Record(s) description (where required)	Retention information / period	Action at end of retention period (method of disposal)
Access arrangements information	Any hard copy information kept by the Exams Officer relating to an access arrangement candidate.	To be returned to SENCO as records owner at end of the candidate's final exam series.	Confidential waste / shredding
Attendance register copies		To be retained until after the deadline for EARs or until any appeal, malpractice or other results enquiry has been completed, whichever is later.	Confidential waste/ shredding
Awarding body administrative information	Any hard copy publications provided by awarding bodies.	To be retained until the current academic year update is provided.	Destruction
Candidate's work	Non-examination assessment work (including controlled assessment, coursework, portfolios) returned to the centre after awarding body moderation.	To be immediately returned to subject staff as records owner. To be stored safely and securely along with work that did not form part of the moderation sample (including materials stored electronically) until after the deadline for EARs or the resolution of any outstanding enquiry/appeal or malpractice investigations for the exam series.	Returned to candidates or safe disposal
Certificates		Unclaimed/uncollected certificates to be retained securely for a minimum of 12 months from date of issue.	Confidential destruction
Certificate destruction information	A record of unclaimed certificates that have been destroyed.	To be retained for a minimum of 4 years from the date of certificate destruction.	Confidential destruction
Certificate issue information	A record of certificates that have been issued to candidates.	Retained for a minimum of 4 years.	Confidential waste / shredding
Confidential materials delivery logs	A log recording confidential materials delivered by awarding bodies to the centre and issued to authorised staff.	Retained until after exam series completed.	Confidential waste / shredding

Record Type	Record(s) description (where required)	Retention information / period	Action at end of retention period (method of disposal)
Confidential materials tracking logs	A log to track materials taken from or returned to secure storage throughout the time the material is confidential.	Retained until after deadline for EAR or any appeal.	Confidential waste / shredding
Dispatch logs	Proof of dispatch of exam script packages to awarding body examiners covered by the DfE (Standards & Testing Agency) yellow label service.	Filed with seating plans and attendance registers. Retained until after deadline of EAR or appeal has been completed.	Confidential waste / shredding
Entry information	Any hard copy information relating to candidates' entries.	Retained until after published results and or appeals deadline passed.	Confidential waste / shredding
Exam question papers	Question papers for timetabled written exams.	Issued to teaching staff after the published finishing time of the exam and only when all candidates in the centre have completed the exam. Instructions issued by an individual awarding body relating to the use of question papers for vocational qualifications after the examination has taken place are followed.	Confidential waste / shredding
Exam room checklists	Checklists confirming room conditions and invigilation arrangements for each exam room.	To be retained until after the deadline for EARs or until any appeal, malpractice or other results enquiry has been completed, whichever is later.	Confidential waste / shredding
Exam room incident logs	Logs recording any incidents or irregularities in exam room.	Retained until after deadline for EAR or any appeal or malpractice has been completed.	Confidential waste / shredding
Exam stationery		When awarding body or JCQ common stationery is considered surplus or is out-of-date it will be disposed of.	Confidential disposal
Examiner reports		To be immediately provided to Head of Department as records owner.	Confidential waste / shredding
Finance information	Copy invoices for exams-related fees.	To be returned to Finance department as records owner if applicable.	Confidential waste / shredding
Invigilation arrangements	See <i>Exam room checklist</i> .		Confidential waste / shredding

Record Type	Record(s) description (where required)	Retention information / period	Action at end of retention period (method of disposal)
JCQ publications	Any hard copy publications provided by JCQ.	To be retained until the current academic year update is provided.	Confidential waste / shredding
Moderator reports		To be immediately provided to Head of Department as records owner.	Confidential waste / shredding
Overnight supervision information	Copy of JCQ form <i>Timetable variation and confidentiality declaration for overnight supervision</i> for any candidate eligible for these arrangements.	To be retained for JCQ inspection purposes for the relevant exam series.	Confidential waste / shredding
Post-results services: confirmation of candidate consent information	Hard copy or email record of candidate consent for an EAR or ATS request to be submitted to an awarding body.	EAR consent to be retained for at least six months following the outcome of the enquiry or any subsequent appeal. ATS consent to be retained for at least six months from the date consent given.	Confidential waste / shredding
Post-results services: requests/ outcome information	Any hard copy information relating to a post-results service request (EARs, appeals, ATS) submitted to an awarding body for a candidate and outcome information from the awarding body.	Application forms, logs, outcomes reports retained for one year.	Confidential waste / shredding
Post-results services: scripts provided by ATS service	Copies of exam scripts (or an electronic image of the script) returned to the centre by the awarding body/copies downloaded by the centre where the awarding body provides online access to scripts.	Where copies of scripts are retained by the centre, they must be securely stored (including any electronic versions) until they are no longer required.	Confidential disposal
Post-results services: tracking logs	A log tracking to resolution all post-results service requests submitted to awarding bodies.		Confidential waste / shredding
Private candidate information	Any hard copy information relating to private candidates' entries.		Confidential waste / shredding
Proof of postage – candidate work	Proof of postage of sample of candidates' work to awarding body moderators.	Retained until after deadline for EARs or until any appeal, malpractice or other enquiry has been completed.	Confidential waste / shredding

Record Type	Record(s) description (where required)	Retention information / period	Action at end of retention period (method of disposal)
Resolving clashes information	Any hard copy information relating to the resolution of a candidate's clash of exam papers or a timetable variation.		Confidential waste / shredding
Results information	Broadsheets of results summarising candidate final grades by subject by exam series.	Records for current year plus previous 6 years to be retained as a minimum.	Confidential waste / shredding
Seating plans	Plans showing the seating arrangements of all candidates for every exam taken.	To be retained until after the deadline for EARs or until any appeal, malpractice or other results enquiry has been completed, whichever is later.	Confidential waste / shredding
Special consideration information	Any hard copy information relating to a special consideration request and supporting evidence submitted to an awarding body for a candidate.	Evidence supporting an on-line special consideration application and evidence supporting a candidate's absence from an exam must be kept until after the publication of results.	Confidential waste / shredding
Suspected malpractice reports / outcomes	Any hard copy information relating to a suspected malpractice investigation / report submitted to an awarding body and outcome information from the awarding body.	Retained until the deadline for EARs or any appeal has been completed.	Confidential waste / shredding
Transferred candidate information	Any hard copy information relating to an application for a transferred candidate arrangement submitted to an awarding body for a candidate.	To be retained until the transfer arrangements are confirmed by the awarding body.	Confidential waste / shredding
Very late arrival reports / outcomes	Any hard copy information relating to a very late arrival report submitted to an awarding body for a candidate and outcome information from the awarding body.	Retained until the deadline for EARs or appeals have been completed.	Confidential waste / shredding

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